

APPENDIX 1

INVESTMENTS HELD AS AT 30th SEPTEMBER 2015

Counterparty	Start Date	Maturity Date	Rate of Interest %	Amount £m
FIXED TERM DEPOSITS				
LLOYDS BANK	19/11/14	19/11/15	1.00000	5.0
KINGSTON-UPON-HILL CITY COUNCIL	02/01/14	04/01/16	0.90000	2.0
LLOYDS BANK	31/03/15	31/03/16	1.00000	5.0
GREATER LONDON AUTHORITY	01/04/14	01/04/16	1.14000	15.0
LLOYDS BANK	13/04/15	13/04/16	1.00000	5.0
RBS (collar deposit - floor 1.15%; ceiling 1.37%)	21/05/14	23/05/16	1.15000	15.0
LLOYDS BANK	07/07/14	07/07/16	1.25000	2.5
BARCLAYS BANK	29/07/15	29/07/16	1.02000	10.0
SANTANDER	06/08/15	08/08/16	1.00000	10.0
LLOYDS BANK	18/08/14	18/08/16	1.28000	7.5
RBS (collar deposit - floor 1.52%; ceiling 2.00%)	26/08/14	26/08/16	1.52000	15.0
WARRINGTON BOROUGH COUNCIL	31/10/13	31/10/16	1.45000	5.0
LONDON FIRE & EMERGENCY PLANNING AUTHORITY	28/11/13	28/11/16	1.50000	5.0
LLOYDS BANK	04/12/14	05/12/16	1.09000	25.0
RBS (King & Shaxson Client A/c) - CD	13/02/15	13/02/17	1.34000	10.0
WEST DUMBARTONSHIRE COUNCIL	26/03/14	24/03/17	1.60000	2.5
PERTH & KINROSS COUNCIL	26/03/14	24/03/17	1.45000	5.0
NORTHUMBERLAND COUNTY COUNCIL	15/08/14	15/08/17	1.50000	5.0
DONCASTER MBC	15/08/14	15/08/17	1.88000	5.0
LB CROYDON	22/08/14	22/08/17	1.50000	10.0
RBS (King & Shaxson Client A/c) - CD	30/10/14	30/10/17	1.85000	40.0
BLAENAU GWENT CBC	04/12/14	04/12/17	1.90000	3.0
LLOYDS BANK	16/04/15	16/04/18	1.49000	30.0
				<u>237.5</u>
OTHER				
Money Market Funds - Ignis		Money Market Fund		15.0
- Federated		Money Market Fund		5.1
CCLA Local Authority Property Fund		Property Fund		15.0
Diversified Growth Fund - Newton		Diversified Growth Fund		5.0
- Standard Life		Diversified Growth Fund		5.0
TOTAL INVESTMENTS AS AT 30th SEPTEMBER 2015				<u>282.6</u>
ICELANDIC BANK DEPOSIT (not included above)				
Heritable Bank - total claim (principal & interest)	28/06/07	29/06/09	6.42	5,087,065
Less: Dividend received to 30/09/15 (98%)				<u>-4,985,324</u>
Principal sum unrecovered as at 30/09/15				<u>101,741</u>
Provision in 2014/15 accounts for non-recovery (5.9% of total claim)				<u>300,000</u>

APPENDIX 2

INVESTMENTS HELD AS AT 30th SEPTEMBER 2015

	FROM	TO	RATE	£m	TOTAL £	LIMIT	REMAINING
Fixed Term Deposits							
UK BANKS							
BARCLAYS BANK	29/07/15	29/07/16	1.02000	10.0	10.0	20.0	10.0
SANTANDER	06/08/15	08/08/16	1.00000	10.0	10.0	20.0	10.0
LLOYDS BANK	19/11/14	19/11/15	1.00000	5.0			
LLOYDS BANK	31/03/15	31/03/16	1.00000	5.0			
LLOYDS BANK	13/04/15	13/04/16	1.00000	5.0			
LLOYDS BANK	07/07/14	07/07/16	1.25000	2.5			
LLOYDS BANK	18/08/14	18/08/16	1.28000	7.5			
LLOYDS BANK	04/12/14	05/12/16	1.09000	25.0			
LLOYDS BANK	16/04/15	16/04/18	1.49000	30.0	80.0	80.0	0.0
ROYAL BANK OF SCOTLAND	21/05/14	23/05/16	1.15000	15.0			
ROYAL BANK OF SCOTLAND	26/08/14	26/08/16	1.52000	15.0			
ROYAL BANK OF SCOTLAND - CD	13/02/15	13/02/17	1.34000	10.0			
ROYAL BANK OF SCOTLAND - CD	30/10/14	30/10/17	1.85000	40.0	80.0	80.0	0.0
LOCAL AUTHORITIES							
NORTHUMBERLAND COUNTY COUNCIL	15/08/14	15/08/17	1.50000	5.0	5.0	15.0	10.0
KINGSTON-UPON-HILL CITY COUNCIL	02/01/14	04/01/16	0.90000	2.0	2.0	15.0	13.0
GREATER LONDON AUTHORITY	01/04/14	01/04/16	1.14000	15.0	15.0	15.0	0.0
WARRINGTON BOROUGH COUNCIL	31/10/13	31/10/16	1.45000	5.0	5.0	15.0	10.0
WEST DUMBARTONSHIRE COUNCIL	26/03/14	24/03/17	1.60000	2.5	2.5	15.0	12.5
PERTH & KINROSS COUNCIL	26/03/14	24/03/17	1.45000	5.0	5.0	15.0	10.0
LB CROYDON	22/08/14	22/08/17	1.50000	10.0	10.0	15.0	5.0
BLAENAU GWENT CBC	04/12/14	04/12/17	1.90000	3.0	3.0	15.0	12.0
DONCASTER MBC	15/08/14	15/08/17	1.88000	5.0	5.0	15.0	10.0
LONDON FIRE & EMERGENCY PLANNING AUTHORITY	28/11/13	28/11/16	1.50000	5.0	5.0	15.0	10.0
					237.5		
Other Investments							
Money Market Funds							
IGNIS LIQUIDITY FUND	25/01/10		0.00000	15.0	15.0	15.0	0.0
FEDERATED STERLING LIQUIDITY FUND	15/06/09		0.00000	5.1	5.1	15.0	9.9
Pooled Fund Investments							
CCLA LOCAL AUTHORITY PROPERTY FUND	30/01/14		0.00000	15.0			
STANDARD LIFE - DIVERSIFIED GROWTH FUND	22/12/14		0.00000	5.0			
NEWTON - DIVERSIFIED GROWTH FUND	22/12/14		0.00000	5.0	25.0	25.0	0.0
TOTAL INVESTMENTS					282.6	282.6	
ICELANDIC BANK DEPOSIT (not included above)							
Heritable Bank - total claim (principal & interest)	28/06/07	29/06/09	6.42	5,087,065			
Less: Dividend received to 30/09/15 (98%)				-4,985,324			
Principal sum unrecovered as at 30/09/15				101,741			
Provision in 2014/15 accounts for non-recovery (5.9% of total claim)				300,000			